

PTO/SB/21 (08-03)

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|--|----------------------|------------------------|--------|
| <b>TRANSMITTAL<br/>FORM</b><br><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 10/038,895             |        |
|  | Filing Date          | October 24, 2001       |        |
|  | First Named Inventor | David C. Kulp          |        |
|  | Art Unit             | 1646                   |        |
|  | Examiner Name        | John Ulm               |        |
| Total Number of Pages in This Submission   | 5                    | Attorney Docket Number | 3379.1 |

|   |  |   |
|---|--|---|
| <b>ENCLOSURES (check all that apply)</b>  |  |   |
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Reply<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to<br>Group<br><br><input type="checkbox"/> Appeal Communication to Board of<br>Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):<br><br>-Request for Statutory Invention<br>Registration (in duplicate). |
| Remarks   |  | <b>RECEIVED</b><br><b>CENTRAL FAX CENTER</b><br><br><b>OCT 22 2003</b>  |
|   |  |   |
| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>   |  |   |
| Firm<br>or<br>Individual name   | Sandra E. Wells - Reg. 52,349  |   |
| Signature   | <i>Sandra E. Wells</i>   |   |
| Date  | October 22, 2003   |   |

|  |                         |      |            |
|--|-------------------------|------|------------|
| <b>CERTIFICATE OF MAILING</b>  |                         |      |            |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO (703) 872-9306 or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                         |      |            |
| Typed or printed name  | Leticia R. Block        |      |            |
| Signature  | <i>Leticia R. Block</i> | Date | 10/22/2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public when it is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/94 (05-03)  
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## Request for Statutory Invention Registration

Application Number 10/038,895

☐ attached hereto

, or

Filed: October 24, 2001

Titled: Nucleic Acids Encoding G protein-coupled Receptors

Applicant(s): David C. Kulp, Michael A. Siani-Rose, Alan J. Williams and Cyrus L. Harmon

A. In the above identified patent application, I hereby:

1. Request and authorize the Director of Patent and Trademark Office to publish the above identified regularly filed patent application as a Statutory Invention Registration. (35 U.S.C. 157)
2. Waive the right to receive a United States patent on the same invention claimed in the above identified patent application. These rights, which are waived, include those specified in 35 U.S.C. 183 and 271 through 289 as well as all attributes specified for patents in any other provisions of law other than title 35, United States Code. The waiver includes, but is not limited to, the remedies under 19 U.S.C. 1337 and 1337a, 22 U.S.C. 2356 and 28 U.S.C. 1496. (35 U.S.C. 157(c))
3. Understand that the above waiver will be effective pursuant to 37 CFR 1.293 upon publication of the Statutory Invention Registration to waive the inventor's right to receive a United States patent on the invention claimed in the Statutory Invention Registration. (37 CFR 1.293(b)(1))
4. State that, in my opinion, the disclosure and claims of the above identified patent application meet the requirements of 35 U.S.C. 112. (37 CFR 1.293(b)(3))
5. State that, in my opinion, the above identified patent application complies with the requirements for printing as set forth in the Rules of Practice for Patent Cases, 37 CFR Part 1. (37 CFR 1.293(b)(4))
6. Enclose the fee\* set forth in 37 CFR 1.17(n) or (o) for requesting publication of a Statutory Invention Registration:

☒ A first Office Action has not been mailed in the above application, 37 CFR 1.17(n), ..... \$ 920

☐ A first Office Action has been mailed in the above application, 37 CFR 1.17(o) ..... \$ \_\_\_\_\_

Request fee \$ \_\_\_\_\_

MINUS BASIC FILING FEE, IF PREVIOUSLY PAID

☒ Basic filing fee for utility patent application set forth in 37 CFR 1.16(a);

☐ Basic filing fee for design patent application set forth in 37 CFR 1.16(f); or

☐ Basic filing fee for plant patent application set forth in 37 CFR 1.16(g)

Minus basic filing fee \$ \_\_\_\_\_

Amount due \$ 180

Payment charged to credit card \_\_\_\_\_. Form PTO-2038 is attached.

Amount enclosed by check or money order \_\_\_\_\_.

Please charge Deposit Account No. 01-0431 the amount of \$ 180

If payment of any additional fee is required for publication of the Statutory Invention Registration, charge such amount to Deposit Account No. 01-0431.

\* Where this request is submitted at the time the application is filed, the filing fee is included in the fee.

(Page 1 of 2)

This collection of information is required by 37 CFR 157. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 24 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/04 (5-03)  
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B. For printing of the Statutory Invention Registration front page, if desired, list below the name(s) of not more than 3 registered patent attorneys and agents OR alternatively, the name of a firm having as a member a registered patent attorney or agent. If no name is listed below, no name will be printed on the Statutory Invention Registration.

Affymetrix, Inc.

C. Name of assignee, if any, for printing on the Statutory Invention Registration Affymetrix, Inc.  
Address (City and State or Country) San Jose, California  
State of incorporation, if assignee is a corporation Delaware.

WARNING: Information on this form may become public. Credit card information should not be included on this form.  
Provide credit card information and authorization on PTO-2038.

Sandra E. Wells

Sandra E. Wells - Reg. 52,349

Signature(s) (37 CFR 1.293(a))

☒ attorney or agent of record ☐ applicant(s) and any assignee